**Consultant Evaluation System**

**General Criteria**

**cycle 33**

**(NO CHANGES FROM CES32)**

**Introduction**

The Consultant Evaluation System (CES) is an evaluation of a Consulting firm’s past performance on NJDOT projects and an indication of a firm’s capability to perform future projects for the Department. The System has been developed to objectively provide a “CES Score” that is used in the selection process of selecting a firm to perform work for the NJDOT. The CES Score, also referred to as the “Firm’s Capability/Performance Score”, is an evaluation criteria used in the review process of a Technical Proposal. The Consultant Evaluation System benefits both the Department and the Consultant by providing the Department with essential Consultant performance data and providing the Consultant with the opportunity to improve their job performance from one rating cycle to the next. The System is based on objective information, which is intended to lead to impartial ratings within the following six (6) “RATING DISCIPLINES”:

* **DESIGN**
* **CONSTRUCTION INSPECTION**
* **ENVIRONMENTAL & ASBESTOS REMEDIATION**
* **STRUCTURAL EVALUATION**
* **PLANNING**
* **STAFF AUGMENTATION (starting with CES 29)**

Within each RATING DISCIPLINE, the Consultant’s performance may be rated in the following three (3) RATING CATEGORIES:

* **SCHEDULE**
* **QUALITY**
* **PROJECT MANAGEMENT**

The criteria for each of the three RATING CATEGORIES are specifically defined and weighted for each RATING DISCIPLINE and will be scored using the following rating score:

1. **Outstanding**
2. **Above Satisfactory**
3. **Satisfactory**
4. **Below Satisfactory**
5. **Unacceptable**
* ½ point ratings can be applied where deemed appropriate by the specific unit performing the consultant evaluation.

This scale is applied to each RATING CATEGORY, and along with certain category weights, will result in a numerical score for the agreement being evaluated. This single score along with the scores of the other agreements evaluated in the RATING DISCIPLINE are averaged together to produce the overall CES Score of the RATING DISCIPLINE. This overall CES Score is used in the consultant selection process during the review of technical proposals.

All consultant evaluations will be prepared by the agreement’s NJDOT Project Manager or Contract Manager.

**RATING TYPES**

Each consultant agreement that is evaluated is defined by a specific RATING TYPE indicated on the CONSULTANT EVALUATION REPORT. This RATING TYPE is an indication of the progress or status of a project during a rating cycle. RATING TYPES may change from one rating cycle to the next and they are identified as follows.

**One Year:** All rating cycles are conducted yearly. A One-Year RATING TYPE is completed if an invoiced item of work is submitted during the rating cycle, such as submission of contract documents or completion of a task; it will be considered an item to be rated. However, if work was to be submitted by the Consultant but was not, it should be reflected in the rating with appropriate supporting documents. This type is noted as **“One-Year”** under the RATING TYPE on the CONSULTANT EVALUATION REPORT.

**Final:** The Final RATING TYPE is completed at the completion of a project or term agreement. It is noted as **“Final”** under the RATING TYPE on the CONSULTANT EVALUATION REPORT.

**NR (NOT REQUIRED):** The NR RATING TYPE is completed if an invoiced item of work or task has not occurred within the one-year rating cycle or if the Consultant has not performed sufficient work to complete a rating. The **“NR”** RATING TYPE is noted under the RATING TYPE on the CONSULTANT EVALUATION REPORT.

**Average:** This type of rating is only used on Term Agreements where multiple Task Orders within a Term Agreement are evaluated and averaged to develop a single rating for the Term Agreement. The **“Average”** RATING TYPE is noted under the RATING TYPE on the CONSULTANT EVALUATION REPORT.

**RATING DISCIPLINES AND RATING CATEGORIES**

The Consultant Evaluation System comprises of six (6) RATING CATEGORIES ***(Design, Construction Inspection, Environmental, Structural Evaluation, Planning, & Staff Augmentation)*** in which they are evaluated by three (3) RATING CATEGORIES ***(Schedule, Quality, & Project Management)***.

* **DESIGN**

The DESIGN RATING DISCIPLINE encompasses all concept development, preliminary & final design projects from the Division of Capital Program Management. This discipline also includes maintenance projects, emergency engineering and design engineering term agreements, and any design related agreements. For the DESIGN RATING DISCIPLINE only, three phases are evaluated during the course of a design project: Design Phase, Construction Phase, and Overall Quality.

***Design Phase*** is the period for all one-year rating cycles during the Preliminary & Final Design Stages up to the Award of Project. The design phase rating percentages and criteria are applicable during this phase. During the Design Phase, the RATING CATEGORIES of Schedule, Quality, and Project Management are evaluated.

***Construction Phase*** is the period for all one-year rating cycles after the Award of Project when the Department retains the Consultant to perform Construction Engineering Services. The Construction Phase rating percentages are applicable during this phase. The RATING CATEGORIES of Schedule, Quality, and Project Management are evaluated during the Construction Phase.

***Overall Quality*** is measured upon the substantial completion of the project and the rating shall be performed under the criteria evaluating the constructability of the design. This category evaluates the completeness and quality of the Consultant’s contract documents. Therefore, during this phase only the Quality RATING CATEGORY is evaluated. **Overall Quality does not apply for task orders used to assist in-house design forces.**

* **CONSTRUCTION INSPECTION**

The CONSTRUCTION INSPECTION RATING DISCIPLINE includes all work done by the Consultant’s Construction Inspection Engineering Staff to directly supervise, coordinate, inspect and/or measure all construction inspection work on a project. The CONSTRUCTION INSPECTION RATING DISCIPLINE is evaluated based upon the Quality and Project Management RATING CATEGORIES.

* **ENVIRONMENTAL**

The ENVIRONMENTAL RATING DISCIPLINE includes Term Agreements for and/or permitting (wetlands, cultural resources, hazardous waste, investigations, asbestos remediation etc.) performed by a Consultant through a Term Agreement or Project Specific Agreement during Scope Development, Preliminary Design, Final Design, and Construction. For Environmental Agreements, the RATING CATEGORIES of Schedule, Quality, and Project Management are evaluated.

* **STRUCTURAL EVALUATION**

The STRUCTURAL EVALUATION RATING DISCIPLINE administers various bridge, culvert, and sign structures inspection programs and performs other procedures to ensure the structural safety and integrity of such structures along State and County roads. The RATING CATEGORIES of Schedule, Quality, and Project Management are evaluated in the STRUCTURAL EVALUATION RATING DISCIPLINE.

* **PLANNING**

The PLANNING RATING DISCIPLINE evaluates Feasibility/Needs Assessment, & Scope Development Agreements. This RATING DISCIPLINE also includes Bicycle/Pedestrian, Freight Planning, Local Roadway, Local Technical Assistance, Straight Line Diagrams, and Traffic Monitoring System Agreements. Schedule, Quality, and Project Management RATING CATEGORIES are evaluated in this DISCIPLINE.

* **STAFF AUGMENTATION**

The Staff Augmentation discipline is added for CES29 (2019). Staff Augmentation Term Agreements are used throughout the Department to use consultant staff to augment project management type of activities. The rating forms rate the performance of the augmenter and the augmenter’s supervisor in schedule, quality, and management tasks combined.

**Table 1. CATEGORIES WEIGHTS PER DISCIPLINE**

The Consultant Evaluations will be based on the RATING CATEGORIES and weights as indicated below per each RATING DISCIPLINE.

|  |  |
| --- | --- |
| **RATING DISCIPLINE** | **RATING CATEGORIES** |
| **SCHEDULE****(%)** | **QUALITY****(%)** | **PROJECT MANAGEMENT****(%)** |
| Design – Design Phase | **30** | **40** | **30** |
| Design – Construction Phase | **20** | **60** | **20** |
| Design – Overall Quality | **--** | **100** | **--** |
| Construction Inspection | **--** | **80** | **20** |
| Environmental  | **20** | **60** | **20** |
| Structural Evaluation | **30** | **50** | **20** |
| Planning | **30** | **40** | **30** |
| Staff Augmentation | Augmenter = 80% Supervisor = 20% |

Prior to beginning work, the RATING CATEGORIES and forms will be discussed to assure understanding by all parties. The rating forms will include the applicable category weight percentages (totaling 100%). The applicable weight criteria indicated above will be used by all NJDOT contracting units and may not be modified.

**FINAL RATINGS AND REVIEW**

Once the ratings have been completed for each Consultant, all CES Ratings are entered into the CONSULTANT EVALUATION SYSTEM CUMULATIVE REPORT and posted on the Professional Services web page. This report summarizes all CES Ratings per firm and per RATING DISCIPLINE, and is used to obtain a firm’s CES Rating/Firm’s Capability Score when reviewing technical proposals.

Also at the completion of CES Ratings, a copy of all the rated agreements are emailed to the Consultant for review. It is important that the Consultant maintains a “CES Contact” with Professional Services so that all CES correspondence can be forwarded to the proper Consultant contact. For any RATING DISCIPLINE score lower than satisfactory (3.0), the Consultant may request a debriefing within 10 days from the date of the rating email. All debriefing requests should be directed to the Professional Services Procurement Division email PSPD@dot.nj.gov . The CES Discipline in question should be included in the subject line of the email. **NJDOT Project Managers are not to be contacted directly**. All Project Managers have been informed to direct all inquiries to Professional Services. Only written requests to Professional Services will be allowed. Any requests received after the 10 day deadline will not be accepted. Professional Services will follow up with the rater and the rater’s manager with all consultant inquiries.

**USE OF CONSULTANT EVALUATION SYSTEM RATINGS**

The Consultant Evaluation System Ratings was developed for the purpose of the Department for the Consultant selection process. The CES Ratings provides an objective indication of a firm’s capability to perform professional services for the Department. When technical proposals for a project are being evaluated, each firm is given a Firm’s Capability Score from the CES based upon the RATING DISCIPLINE of the specific solicitation. The Firm’s Capability shall be measured as follows:

* If a firm **has a current NJDOT CES Rating** in the particular RATING DISCIPLINE of the solicitation, the firm’s CES Rating for that RATING DISCIPLINE will be used.
* If a firm **does not have a current NJDOT CES rating OR a rating in the RATING DISCIPLINE in which the firm submits a technical proposal**, the firm will receive the industry average. See the definitions for INDUSTRY AVERAGE.
* A **Joint Venture** will receive the average of each firm’s CES Rating, using the above criteria, involved on the Joint Venture.